

07/12/01  
JC682 U.S. PTO  
FORM PTO-1082

07-13-01  
A

Express Mail EL 904 934 983 US  
PATENT  
Atty. Docket No. 33542-2011  
Date: July 12, 2001

JC672 U.S. PTO  
09/904222  
07/12/01

## UTILITY PATENT APPLICATION TRANSMITTAL and FEE TRANSMITTAL

Box PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Linkai BU and Tzi-Dar CHIUEH  
For: PHONETIC FEATURE BASED SPEECH RECOGNITION APPARATUS AND METHOD

Enclosed are:

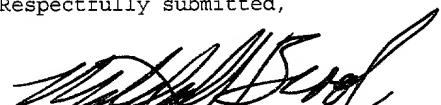
Fee Transmittal Form (e.g., PTO/SB/17)  
 Applicant Claims Small Entity Status  
 Specification, Claims, and Abstract (15 pages)  
 7 Sheet(s) of drawing ( formal,  informal)  
Form PTO-1595 and an assignment of the invention to:  
 A certified copy of Taiwan Application No. 89114003 filed on July 13, 2000 from which priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119,  will follow.  
 An associate Power of Attorney.  
 Combined Declaration and Power of Attorney.  
 Information Disclosure Statement, Form PTO 1449, and  cited references  
 Request and Certification under 122(b)  
 Postcard

If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 C.F.R. 1.76:

Continuation  Divisional  Continuation-in-part (CIP)  
of prior application No.: \_\_\_\_\_  
Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

Check in the amount of \$435.00 to cover the filing fee is enclosed.  
 Check in the amount of \$ to cover the Assignment Recordation Fee.  
 The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-0410 of Baker & McKenzie.  
A duplicate copy of this sheet is enclosed.  
 Any additional filing fees required under 37 CFR 1.16.  
 Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

  
Mitchell P. Brook  
Registration No. 32,967  
c/o BAKER & MCKENZIE  
101 West Broadway, 12th Floor  
San Diego, California 92101  
(619) 236-1441

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ 435.00)

## Complete if Known

Application Number	To be assigned
Filing Date	Filed Herewith
First Named Inventor	Linkai BU et al.
Examiner Name	To be assigned
Group Art Unit	To be assigned
Attorney Docket No.	33542-2011

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 02-0410

Deposit Account Name Baker &amp; McKenzie

 Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17 Applicant claims small entity status.  
See 37 CFR 1.27

2.  Payment Enclosed:

 Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	710	201 355 Utility filing fee	355.00
106	320	206 160 Design filing fee	
107	490	207 245 Plant filing fee	
108	710	208 355 Reissue filing fee	
114	150	214 75 Provisional filing fee	

SUBTOTAL (1) (\$ 355.00)

## 2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	16	-20** = 0 X 0 = 0	0
Independent Claims	5	-3** = 2 X 80 = 80.00	80.00
Multiple Dependent			

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 80.00)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	390	216 195 Extension for reply within second month	
117	890	217 445 Extension for reply within third month	
118	1,390	218 695 Extension for reply within fourth month	
128	1,890	228 945 Extension for reply within fifth month	
119	310	219 155 Notice of Appeal	
120	310	220 155 Filing a brief in support of an appeal	
121	270	221 135 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,240	241 620 Petition to revive - unintentional	
142	1,240	242 620 Utility issue fee (or reissue)	
143	440	243 220 Design issue fee	
144	600	244 300 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Petitions related to provisional applications	
126	240	126 240 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279 355 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 0.00)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Mitchell B. Brook	Registration No. (Attorney/Agent)	32,967	Telephone	(619) 236-1441
Signature				Date	7/12/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U S Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: ) Art Unit: To be assigned  
Linkai BU et al. )  
Serial No.: To be assigned ) Examiner: To be assigned  
Filed: Filed herewith )  
For: PHONETIC FEATURE BASED )  
SPEECH RECOGNITION APPARATUS )  
AND METHOD )

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San Diego, California 92101  
July 12, 2001

BOX PATENT APPLICATION  
Commissioner For Patents  
Washington, D.C. 20231

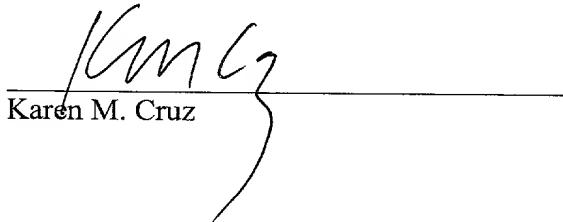
**CERTIFICATE OF EXPRESS MAILING**

Dear Sir:

“Express Mail” mailing label No. EL 904 934 983 US

Date of Deposit: July 12, 2001

I hereby certify that a Utility Patent Application Transmittal, Fee Transmittal, check in the amount of \$435.00, Patent Application including the Specification, Abstract, and Claims (15 pages), 7 sheets of Formal Drawings (FIGS. 1-7) and return postcard are being deposited with the U.S. Postal Service “Express Mail Post Office to the Addressee” service under 37 CFR § 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

  
Karen M. Cruz